

# A Borg-Marchenko type theorem for Schrödinger operators with complex potentials

Frantisek Štampach  
(Czech Technical University in Prague)

joint with Alexander Pushnitski  
(King's College London)

Mathematical aspects of the physics with non-self-adjoint operators  
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2 Non-self-adjoint case

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- **The goal:** An analysis of properties of the **spectral map**

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- **Direct problem:** Given  $A$  (and  $\delta$ ), examine  $\mu$ .
- **Inverse problem:**
  - Uniqueness: **Sometimes**  $\mu$  determines  $A$ .
  - Surjectivity: **Sometimes** the image of  $\Lambda$  can be fully/explicitly characterized.

## Example 1 - Jacobi matrices

- Set  $\mathcal{H} := \ell^2(\mathbb{N}_0)$ ,

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### Theorem

- Uniqueness:**  $J$  is uniquely determined by  $\mu$ .
- Surjectivity:** Given a probability measure  $\mu$  with compact and infinite support in  $\mathbb{R}$ , there exists  $J$  such that  $\mu$  is the spectral measure of  $J$ .

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- Set  $\mathcal{H} := L^2(\mathbb{R}_+)$  and  $A := H$  the Schrödinger operator in  $L^2(\mathbb{R}_+)$  given by

$$Hf(x) = -f''(x) + q(x)f(x)$$

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(Surjectivity of the spectral mapping  $H \mapsto \sigma$  open.)

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### Theorem (Pushnitski–Š., 2024)

- Uniqueness:**  $J$  is uniquely determined by  $(\nu, \psi)$ .
- Surjectivity:** Let  $\nu$  is a probability meas. on  $[0, \infty)$  with compact and infinite support. Let  $\psi \in L^\infty(\nu)$  be such that  $\psi(0) = 1$  and  $|\psi(s)| \leq 1$  for  $\nu$ -a.e.  $s \geq 0$ . Then  $(\nu, \psi)$  is a spectral data for some  $J$ .



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$(\nu, \psi)$  uniquely determines  $H$ .

## Definition of the spectral data of Schrödinger operators 1/2

- "Hermitization" of  $H$ :

$$\mathbf{H} := \begin{pmatrix} 0 & H \\ H^* & 0 \end{pmatrix} \quad \text{in } L^2(\mathbb{R}_+) \oplus L^2(\mathbb{R}_+).$$

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$$\mathbf{H} = - \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{d^2}{dx^2} + \begin{pmatrix} 0 & q \\ \bar{q} & 0 \end{pmatrix} \quad \text{in } L^2(\mathbb{R}_+; \mathbb{C}^2),$$

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- There is a unique  $2 \times 2$  matrix-valued **spectral measure**  $\Sigma$  on  $\mathbb{R}$  such that

$$M(\lambda) = \int_{\mathbb{R}} \frac{d\Sigma(t)}{t - \lambda}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$



## Definition of the spectral data of Schrödinger operators 1/2

## Proposition

There exists a unique *even scalar measure*  $\nu$  on  $\mathbb{R}$  and a unique *odd function*  $\psi \in L^\infty(\nu)$  satisfying  $|\psi(t)| \leq 1$  for  $\nu$ -a.e.  $t \in \mathbb{R}$  such that the spectral measure  $\Sigma$  of  $\mathbf{H}$  has the structure

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- $(\nu, \psi)$  is the **spectral data** of  $H$ .
- If  $q = 0$ , then

$$\nu(s) = \frac{ds}{2\pi\sqrt{s}}, \quad \psi(s) = 1, \quad s > 0.$$

# Properties of spectral data $(\nu, \psi)$ of $H$

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The spectrum of  $|H|$  has

multiplicity one on  $S_1 := \{s > 0 : |\psi(s)| = 1\}$ ,

multiplicity two on  $S_2 := \{s > 0 : |\psi(s)| < 1\}$ .

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- 2  $H \geq 0$  if and only if  $\psi(s) = 1$   $\nu$ -a.e.  $s > 0$ .
- 3 If  $H = H^*$ , then for all  $t \in \mathbb{R}$ , we have

$$d\sigma(t) = (1 + \psi(t)) d\nu(t).$$

## References

### Hankel:

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Thank you!