#### An improved discrete Rellich inequality

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#### Contents



The discrete Rellich inequality



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In 1915, when trying to find a simple proof of the Hilbert theorem G. Hardy proved

$$\sum_{n=1}^{\infty} a_n^2 < \infty \quad \Longrightarrow \quad \sum_{n=1}^{\infty} \left( \frac{a_1 + \cdots + a_n}{n} \right)^2 < \infty.$$

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František Štampach (CTU in Prague)

#### The discrete Hardy inequality

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is obtained by setting  $u_n := a_1 + \cdots + a_n$  and reads

$$\sum_{n=1}^{\infty} |u_n - u_{n-1}|^2 \geq \frac{1}{4} \sum_{n=1}^{\infty} \frac{|u_n|^2}{n^2}, \qquad (u_0 := 0).$$

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• While the constant 1/4 cannot be improved, the whole weight  $1/(4n^2)$  can be! Remark: The weight  $1/(4x^2)$  in the continuous Hardy inequality

$$\int_0^\infty |u'(x)|^2 \, \mathrm{d}x \ge \frac{1}{4} \int_0^\infty \frac{|u(x)|^2}{x^2} \, \mathrm{d}x, \qquad u \in H^1(0,\infty), \ u(0) = 0,$$

cannot be further improved, i.e., for any Hardy weight  $\rho$ , it holds

$$\rho(x) \geq \frac{1}{4x^2} \quad \text{a.e. } x > 0 \quad \Longrightarrow \quad \rho(x) = \frac{1}{4x^2} \quad \text{a.e. } x > 0.$$

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$$\sum_{n=1}^{\infty} |u_n - u_{n-1}|^2 \ge \sum_{n=1}^{\infty} \frac{|u_n|^2}{4n^2}, \qquad (u_0 := 0).$$

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**Remark:** Alternatively, the inequality can be written as  $-\Delta \ge \rho^{(1)}$  in the sense of quadratic forms on the space  $H_0^1(\mathbb{N}_0) := \{ u \in \ell^2(\mathbb{N}_0) \mid u_0 = 0 \}$ , where

$$(-\Delta u)_0 := 2u_0 - u_1, \qquad (-\Delta u)_n := -u_{n-1} + 2u_n - u_{n+1}, \quad \text{if } n \ge 1,$$

is the discrete (Dirichlet) Laplacian on  $\ell^2(\mathbb{N}_0)$ .

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- The crucial idea is the ansatz:

$$\sum_{n=1}^{\infty} |u_{n-1} - u_n|^2 = \sum_{n=1}^{\infty} \rho_n^{(1)} |u_n|^2 + \sum_{n=1}^{\infty} |(R_1 u)_n|^2,$$

where

$$(R_1u)_n := a_nu_n - \frac{1}{a_n}u_{n+1}, \quad n \in \mathbb{N},$$

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$$-\Delta - \rho^{(1)} = R_1^* R_1$$
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#### Theorem [Krejčiřík-Š., 2022]

For all  $u \in H_0^1(\mathbb{N}_0)$ , we have the identity

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• The explicit form of  $R_1$  and the fact  $R_1g^{(1)} = 0$  yields a simple proof of

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## The improved discrete Hardy inequality cannot be further improved

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→ a rigorous proof.

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• If moreover  $\rho \ge \rho^{(1)}$ , it follows  $\rho = \rho^{(1)}$ .

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$$\sum_{n=1}^{\infty} |u_{n-1} - u_n|^2 = \sum_{n=1}^{\infty} \rho_n^{(1)} |u_n|^2 < \infty,$$

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#### Actually, $\rho^{(1)}$ is optimal:

ρ<sup>(1)</sup> is critical: If ρ is a Hardy weight and ρ ≥ ρ<sup>(1)</sup>, then ρ = ρ<sup>(1)</sup>.
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for a sequence u, then u = 0.

•  $\rho^{(1)}$  is optimal by infinity: For all  $M \in \mathbb{N}$ , one has

$$\inf_{u \in H_0^M(\mathbb{N}_0) \setminus \{0\}} \frac{\sum_{n=1}^{\infty} |u_{n-1} - u_n|^2}{\sum_{n=1}^{\infty} \rho_n^{(1)} |u_n|^2} = 1,$$
  
where  $H_0^M(\mathbb{N}_0) := \{ u \in \ell^2(\mathbb{N}_0) \mid u_0 = \dots = u_{M-1} = 0 \}.$ 

#### Actually, $\rho^{(1)}$ is optimal:

ρ<sup>(1)</sup> is critical: If ρ is a Hardy weight and ρ ≥ ρ<sup>(1)</sup>, then ρ = ρ<sup>(1)</sup>.
 ρ<sup>(1)</sup> is non-attainable: if

$$\sum_{n=1}^{\infty} |u_{n-1} - u_n|^2 = \sum_{n=1}^{\infty} \rho_n^{(1)} |u_n|^2 < \infty,$$

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•  $\rho^{(1)}$  is optimal by infinity: For all  $M \in \mathbb{N}$ , one has

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where  $H^M_0(\mathbb{N}_0) := \left\{ u \in \ell^2(\mathbb{N}_0) \mid u_0 = \cdots = u_{M-1} = 0 \right\}.$ 

The optimality of ρ<sup>(1)</sup> was first proven by [Keller-Pinchover-Pogorzelski, 2018]; an elementary proof also exists [Gerhat-Krejčiřík-Š., prep. 2022].

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#### Contents







igher order discrete Hardy-like inequalities

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#### The Rellich inequality

• The continuous Rellich inequality [Rellich, 1954-56]:

$$\int_0^\infty \left|u^{\prime\prime}(x)\right|^2 \mathrm{d}x \geq \frac{9}{16} \int_0^\infty \frac{|u(x)|^2}{x^4} \mathrm{d}x,$$

for  $u \in H^2(0,\infty), u(0) = u'(0) = 0.$ 

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An up-to-date known discrete Rellich inequality [Gupta, prep. 2021]

$$\sum_{n=1}^{\infty} |(-\Delta u)_n|^2 \geq \frac{8}{16} \sum_{n=2}^{\infty} \frac{|u_n|^2}{n^4},$$

for  $u \in H^2_0(\mathbb{N}_0) = \{ u \in \ell^2(\mathbb{N}_0) \mid u_0 = u_1 = 0 \}.$ 

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The goal: To adapt the method which works in the Hardy case to deduce an improved discrete Rellich inequality.

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#### An improved discrete Rellich weight

## Theorem [Gerhat-Krejčiřík-Š. prep. 2022]

For all  $u \in H^2_0(\mathbb{N}_0)$ , the discrete Rellich inequality

$$\sum_{n=1}^{\infty} |(-\Delta u)_n|^2 \ge \sum_{n=2}^{\infty} \rho_n^{(2)} |u_n|^2$$

holds with

$$ho^{(2)} = rac{(-\Delta)^2 g^{(2)}}{g^{(2)}}, \quad ext{where } g^{(2)}_n = n^{3/2}.$$

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• Explicitly:

$$\rho_n^{(2)} = 6 - 4\left(\frac{n+1}{n}\right)^{3/2} - 4\left(\frac{n-1}{n}\right)^{3/2} + \left(\frac{n+2}{n}\right)^{3/2} + \left(\frac{n-2}{n}\right)^{3/2}$$

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One has

$$\rho_n^{(2)} > \frac{9}{16n^4} \quad \text{and} \quad \rho_n^{(2)} = \frac{9}{16n^4} + O\left(\frac{1}{n^6}\right), \quad n \to \infty.$$

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#### Comments on the proof

Actually, we prove the identity

$$\sum_{n=1}^{\infty} |(-\Delta u)_n|^2 = \sum_{n=2}^{\infty} \rho_n^{(2)} |u_n|^2 + \sum_{n=1}^{\infty} |(R_2 u)_n|^2, \qquad u \in H_0^2(\mathbb{N}_0),$$

where the remainder has the form

$$(R_2 u)_n := c_n u_n - b_n u_{n+1} + \frac{1}{c_n} u_{n+2}$$

depending on two unknown sequences  $\{b_n\} \subset \mathbb{R}$  and  $\{c_n\} \subset \mathbb{R} \setminus \{0\}$ .

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• The identity yields the following constrains:

$$\begin{split} \rho_2^{(2)} + c_2^2 + b_1^2 &= 6, \\ \rho_n^{(2)} + c_n^2 + b_{n-1}^2 + \frac{1}{c_{n-2}^2} &= 6, \quad n \geq 3, \\ c_n b_n + \frac{b_{n-1}}{c_{n-1}} &= 4, \quad n \geq 2. \end{split}$$

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ho_n^{(2)}+c_n^2+b_{n-1}^2+rac{1}{c_{n-2}^2}=6, & n\geq 3, \ & c_nb_n+rac{b_{n-1}}{c_{n-1}}=4, & n\geq 2. \end{aligned}$$

Unlike the Hardy case, the remainder R<sub>2</sub> is not found explicitly. But the existence of positive solutions {b<sub>n</sub>} and {c<sub>n</sub>} has been proven.

## On the optimality of $\rho^{(2)}$

• The criticality of  $\rho^{(2)}$  has not been established:

If  $\rho$  is a Rellich weight such that  $\rho \ge \rho^{(2)} \xrightarrow{???} \rho = \rho^{(2)}$ .

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#### Proposition

If  $\rho$  is a Rellich weight such that  $\rho \ge \rho^{(2)}$ , then

$$\sum_{n=2}^{\infty} n^3 \left( \rho_n - \rho_n^{(2)} \right) \le 8\sqrt{2} - 3\sqrt{3}.$$

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#### Contents



The discrete Rellich inequality



Higher order discrete Hardy-like inequalities

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#### Higher order Hardy-like inequalities

• The inequality [Birman 1961, Glazman 1965, Owen 1999, Gesztesy-etal. 2018]

$$\int_0^\infty |u^{(k)}(x)|^2 \mathrm{d}x \geq \frac{((2k)!)^2}{16^k \left(k!\right)^2} \int_0^\infty \frac{|u(x)|^2}{x^{2k}} \mathrm{d}x$$

holds for  $u \in H^{k}(0,\infty)$  with  $u(0) = \cdots = u^{(k-1)}(0) = 0$ .

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#### Conjecture

For all  $k \in \mathbb{N}$  and  $u \in H_0^k(\mathbb{N}_0)$ , the inequality

$$\sum_{n=k}^{\infty} ((-\Delta)^k u)_n \overline{u}_n \geq \sum_{n=k}^{\infty} \rho_n^{(k)} |u_n|^2$$

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#### One has

$$\rho_n^{(k)} > \frac{\left((2k)!\right)^2}{16^k \left(k!\right)^2} \frac{1}{n^{2k}} \quad \text{and} \quad \rho_n^{(k)} = \frac{\left((2k)!\right)^2}{16^k \left(k!\right)^2} \frac{1}{n^{2k}} + \mathcal{O}\left(\frac{1}{n^{2k+2}}\right), \quad n \to \infty.$$

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# Obrigado!

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